



Attorney Docket No. YOR920030107US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): Charu C. Aggarwal
Docket No.: YOR920030107US1
Serial No.: 10/601,215
Filing Date: June 20, 2003
Group: To Be Assigned
Examiner: To Be Assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature:  Date: September 25, 2003

Title: Method and Apparatus for Classifying Time Series Data
Using Wavelet Based Approach

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

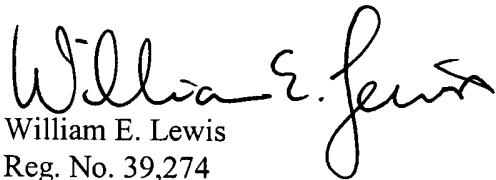
1. J. Gehrke et al., "BOAT-Optimistic Decision Tree Construction," SIGMOD Conference, 12 pages, 1999.
2. J. Gehrke et al., "Rainforest - A Framework for Fast Decision Tree Construction of Large Datasets," VLDB Conference, 12 pages, 1998.
3. J. Gehrke et al., "Data Mining With Decision and Regression Trees," ACM SIGKDD Conference Tutorial, slides KDD99 T1-1 through KDD99 T1-134, 70 pages, 1999.
4. D.A. Keim et al., "Wavelets and their Applications in Databases," ICDE Conference, pp.1-60, 2001.

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It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

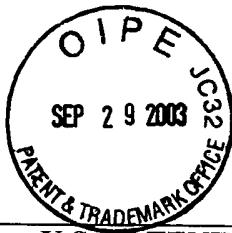
The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



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Date: September 25, 2003

FORM PTO-1449 (MODIFIED)**LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

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U.S. PATENT DOCUMENTS

EXAMINER	INITIAL	DOCUMENT NO.	DATE	NAME	FILING DATE	CLASS/SUBCLASS	IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER	INITIAL	DOCUMENT NO.	DATE	COUNTRY	TRANSLATION	CLASS/SUBCLASS	YES	NO

OTHER DOCUMENTS

EXAMINER	INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

- 1. J. Gehrke et al., "BOAT-Optimistic Decision Tree Construction," SIGMOD Conference, 12 pages, 1999.
- 2. J. Gehrke et al., "Rainforest - A Framework for Fast Decision Tree Construction of Large Datasets," VLDB Conference, 12 pages, 1998.
- 3. J. Gehrke et al., "Data Mining With Decision and Regression Trees," ACM SIGKDD Conference Tutorial, slides KDD99 T1-1 through KDD99 T1-134, 70 pages, 1999.
- 4. D.A. Keim et al., "Wavelets and their Applications in Databases," ICDE Conference, pp.1-60, 2001.

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.